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PTO/SB/05 (4/98)

Approved for use through 09/03/2000, OMB 0651-0032

Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE

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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No.

11162RNUS01U

First Inventor or Application
Identifier

Samuel H. Christie, IV

Title

PAYMENT AUTHORIZATION SYSTEM

Express Mail Label No.

EL 769957767 US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Assistant Commissioner for Patents

Box Patent Application

Washington, DC 20231

1. ☒ * Fee Transmittal Form (e.g., PTO/SB/17)

(Submit an original and a duplicate for fee processing)

2. ☒ Specification

Total Pages

29

(preferred arrangement set forth below)

- Descriptive Title of the invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

3. ☒ Drawing(s) (35 U.S.C. 113)

Total Sheets

5

4. ☒ Oath or Declaration

Total Pages

1

a. ☒ Newly executed (original or copy)b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d))
(for continuation/divisional with Box 16 completed)i. ☐ **DELETION OF INVENTOR(S)**Signed statement attached deleting
inventor(s) named in the prior application,
see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b)

* NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES,
A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT
IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

5. ☐ Microfiche Computer Program (Appendix)6. ☐ Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)a. ☐ Computer Readable copyb. ☐ Paper Copy (identical to computer copy)c. ☐ Statement verifying identity of above copies**ACCOMPANYING APPLICATION PARTS**7. ☒ Assignment Papers (cover sheet & document(s))8. ☐ 37 C.F.R. §3.73(b) Statement
(when there is an assignee)☐ Power of
Attorney9. ☐ English Translation document (if applicable)10. ☐ Information disclosure
Statement (IDS)/PTO-1449☐ Copies of IDS
Citations11. ☐ Preliminary Amendment12. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)13. ☐ * Small Entity Statement
(PTO/SB/09-12)☐ Stmt filed in prior appln.
Stat. still proper & desired14. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)15. ☒ Other: Certificate of Express Mailing

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:



Continuation



Divisional



Continuation-in-part (CIP)

of prior application No.:

Prior application information.

Examiner:

Group/Art Unit

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE

Customer Number or Bar Code Label

22033

or ☐

Correspondence address below

Name

Address

City

Country

State

Zip Code

Telephone

Fax

Name (Print/Type)

J. Erik Fako

Registration No.

42,522

Signature

Date

27-Dec-00

12-28-00

A

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www.nortelnetworks.com

J. Erik Fako
Senior Counsel

December 27, 2000

Box Patent Application
Assistant Commissioner
for Patents
Washington, D.C. 20231-9999

Re: U. S. Utility Patent Application
Docket No. 11162RNUS01U
Entitled : PAYMENT AUTHORIZATION SYSTEM
Applicant: Samuel H. Christie, IV

Dear Sir:

Enclosed is a utility patent application including formal papers as follows:

Utility Patent Application Transmittal
Fee Transmittal and copy
Utility Patent Application (34 pages: including 18 pages of specification, 10
pages of claims, 1 page of Abstract and 5 sheets of informal drawings)
Declaration
Recordation Form Cover Sheet
Assignment
Certificate of Express Mailing EL769957767US
Post card

Kindly acknowledge receipt of the foregoing by returning the enclosed self-
addressed postcard.

Sincerely,



J. Erik Fako
Reg. No. 42,522

JEF:rs

jc713 U.S. PTO
09/749842
12/27/00

jc984 U.S. PTO
12/27/00

09/749842
12/27/00

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FEE TRANSMITTAL

Note. Effective October 1, 1997
Patent fees are subject to annual revision

TOTAL AMOUNT OF
PAYMENT

\$1,132

Complete if Known

Application Number

Filing Date

First named Inventor

Group Art Unit

Examiner Name

Attorney Docket Number

27-Dec-00

Samuel H. Christie, IV

11162RNUS01U

14713 U.S. PTO
09/749842
12/27/00

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit and over payment to:

Deposit Account Number

Deposit Account Name

50-0873

☒

☒

Charge Any Additional

Charge the Issue Fee in

Fee Required Under

37 CFR 1.18 at the Mailing
of the Notice of Allowance

37 CFR 1.16 and 1.17

2. ☐ Payment enclosed:

☐ Check

☐ Money Order

☐ Other

FEE CALCULATION

1. FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
101	690	201	345	Utility filing fee	690
106	310	206	155	Design filing fee	
107	480	207	240	Plant filing fee	
108	690	208	345	Reissue filing fee	
114	150	214	75	Provisional filing fee	
131	690	231	345	Utility filing fee (CPA)	
SUBTOTAL (1)					\$690

2. CLAIMS

Total Claims	25	- 20 = Extra	5	= Fee Paid	90
Ind. Claims	7	- 3 = Extra	4	= Fee Paid	312
Multiple Dependent Claims				= Fee Paid	0

Large Entity		Small Entity		Fee Description	
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
103	18	303	9	Claims in excess of 20	
102	78	202	39	Independent claims in excess of 3	
104	260	204	130	Multiple dependent claim	
109	78	209	39	Reissue independent claims over original patent	
110	18	210	9	Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)					\$402

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2520	147	2520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1840*	113	1840*	Request publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	380	216	190	Extension for reply within second month	
117	870	217	435	Extension for reply within third month	
118	1360	218	680	Extension for reply within fourth month	
128	1850	228	925	Extension for reply within fifth month	
119	300	219	150	Notice of Appeal	
120	300	220	150	Filing a Brief in support of an appeal	
121	260	221	130	Request for oral hearing	
138	1510	138	1510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive-unavoidable	
141	1210	241	605	Petition to revive-unintentional	
142	1210	242	605	Utility issue fee (or reissue)	
143	430	243	215	Design issue fee	
144	580	244	290	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	240	240	Submission of Information Disclosure Statement	
581	40	581	40	Recording each patent assignment per property (times number of properties)	40
146	690	246	345	Filing a submission after final rejection (37 CFR 1 129(a))	
149	690	249	345	For each additional invention to be examined (37 CFR 1 129(b))	
Other Fee (specify)					
Other fee (specify)					
* Reduced by Basic Filing Fee Paid					
SUBTOTAL (3)					\$40

SUBMITTED BY

Typed or Printed Name		Complete (if applicable)	
J. Erik Fako		Reg. No.	42,522
Signature <i>J. Erik Fako</i>		Deposit Account User ID	
Date	27-Dec-00		